



Report on Public Disciplinary Action (Last updated on 28 December 2022)

公開紀律處分報告（最後更新日期：2022年12月28日）

Certificant/Member Name 持證人／會員姓名	Certificant/Member number 持證人／會員編號	Category 類別	Disciplinary Action 紀律行動	Reason for the Disciplinary Action 採取紀律行動的原因
Ho Man Tat 何文達	CFP10066	CFP Certificant CFP 持證人	<p>A suspension on the CFP certificant's right to use the CFP marks for a four (4) months period from 28 December 2022 to 27 April 2023. (both dates inclusive) and complete continuous education of three (3) additional CPT hours on professional ethics and compliance requirement before the end of the above suspension period.</p> <p>暫停該名 CFP 持證人使用 CFP 認證標誌的權利四個月，由 2022 年 12 月 28 日起至 2023 年 4 月 27 日止及在上述暫停使用 CFP 認證標誌的權利期結束前，完成額外三(3)個小時有關職業道德和合規要求的持續進修課程。</p>	<p>Violation of provision 4, 7, 8 and 19 of Part II of IFPHK Code of Ethics and Professional Responsibility 違反香港財務策劃師學會《專業操守及責任》乙部第 4, 7, 8 及 19 條</p>

A Public Disciplinary Action shall remain posted on the IFPHK Website for such period as Professional Ethics Review Committee determine but usually one year unless the disciplinary action involves suspension in which case the posting will normally be maintained for the period of suspension and one year thereafter

香港財務策劃師學會按照由專業操守檢討委員會釐定的期限在其網站上發表公開紀律處分，通常為期一年。若果紀律處分涉及暫停牌照，發表期通常會由當事人被暫停牌照起，到當事人恢復牌照後一年為止。



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